

# Phonological Regularity of Written Phonetic Elements in Modern Mandarin

by

**Stephen M. Kraemer**  
**American English Institute**  
**University of Oregon**  
**skraemer@uoregon.edu**

© Copyright 2011 Stephen M.  
Kraemer

# Phonetic Compound (形声字 xingshengzi)

- A “signific” part, which indicates meaning
- plus
- A “phonetic” part which indicates sound
- 妈 [ma1] = 女 (female) + 马 [ma3]

# The Mandarin Syllable

- A syllable in Modern Standard Mandarin
- Consists of:
- An initial
- A final
- A tone

# The Final

- The final can also be broken down into a medial (vowel), a nucleus (vowel) and an ending (vowel or consonant)
- Final = (M)N(E)

# Mandarin Consonants

Source: Kratochvíl (1968:25)	Labial	Labio- dental	Dental	Alveolar	Alveo- palatal	Palatal	Velar
stop	p, p'			t, t'			k, k'
nasal	m			n			(ŋ)
fricative		f	s		ʃ, ʒ(r)	ç	x
lateral				l			
affricate			ts, ts'		tʃ, tʃ'	tç, tç'	

# Mandarin Vowels

ɿ ʅ i y(ü) u  
e ə ɤ o  
ɛ  
a ɑ

Source: Cheng(1973:12)

# Background Literature

- Xu Shen—說文解字 Shuo Wen Jie Zi (2<sup>nd</sup> cent. A.D.)
- Soothill-1911
- Karlgren-1916, 1923a, 1923b, 1926, 1940, 1949, 1958
- Wieger-1927/1965
- Astor-1970
- Zhou Youguang-周有光 1978, 1980, 2003
- Kraemer-1980, 1991a, 1991b
- DeFrancis-1984
- Alber-1986, 1989



## 周有光 Zhou Youguang (1980)

汉字声旁读音便查 *Hanzi shengpang duyin biancha* ( A handy look up for the pronunciation of phonetics in Chinese characters)

- Zhou analyzes characters in the Xin Hua Zidian (1971) based on Phonetic elements and sets up three categories of phonetic compound characters based on the similarity of the phonetic compound to the pronunciation of the phonetic itself.
- The 3 categories are:
  - (1) Same pronunciation (同音 Tong yin), including same or different tones
  - (2) Similar pronunciation (半同音 Ban tong yin) (similar phonemes)
  - (3) Different pronunciation( 异音 Yi yin) (not as similar to the original phonetic pronunciation)

## John DeFrancis (1984)

- DeFrancis also sets up three categories of phonetic compound characters based on the similarity of the phonetic compound to the pronunciation of the phonetic itself.

DeFrancis's 3 categories are:

- (1) “Complete identity” (same pronunciation including tone)
- (2) “Identity except for tones in some cases”
- (3) “Partial similarity in the segmental phonemes” (DeFrancis (1984))

# Types of Phonological Patterning in Mandarin Characters

- Phonemic Congruence
  - Characters share one or more phonemes
- Featural Congruence
  - V' s share features
  - C' s share features
- Initials and Finals: The Segment
- Segment Structure
  - Patterning in arrangement of C' s or V' s
- Overall Patterns in the Written Language

# Phonemic Congruence

# Types of Character Phonetic Series Based on Phonemic Congruence (Kraemer 1980)

## Seven Categories:

- Totally Perfect
- Segment Perfect
- Initial Perfect
- Final Perfect
- Tone Perfect
- Initial – Tone Perfect
- Final – Tone Perfect

Seven Categories of Phonetic Series  
Found in Wieger (Kraemer 1980)

579 Series Found + 63 (in Astor(1970))

For a Total of 642 Series

Out of 858 Series in Wieger(1927/1965)

# Seven Categories of Phonetic Series Found in Wieger (Kraemer 1980)

Out of 858 Series in Wieger(1927/1965)

- Totally Perfect-124 (+63 in Astor(1970))
- Segment Perfect-192
- Initial Perfect-35
- Final Perfect-153
- Tone Perfect-40
- Initial – Tone Perfect-13
- Final – Tone Perfect-22

# SOUND CLUES IN MANDARIN CHARACTER PHONETIC SERIES

- Example of 7 Categories of Character Phonetic Series
- based on 周有光 Zhou Youguang (1980)  
汉字声旁读音便查 *Hanzi shengpang duyin biancha* ( A handy look up for the pronunciation of phonetics in Chinese characters)



# Totally Perfect

- 丈 [tʂɑŋ4] (zhàng-measure (land))
- is a phonetic in
  - 仗 [tʂɑŋ4] (zhàng-weapons)
  - 杖 [tʂɑŋ4] (zhàng-cane;stick)

# Totally Perfect

- 庸 [iunŋ1] (yōng-) is a phonetic in
- 墉 [iunŋ1] (yōng-)
- 慵 [iunŋ1] (yōng-)
- 鏞 [iunŋ1] (yōng-)
- 鏞 [iunŋ1] (yōng-)

# Totally Perfect

- 贯 [kuan4] (guàn-) is a phonetic in  
    惯 [kuan4] (guàn-)  
    掼 [kuan4] (guàn-)

# Segment Perfect

- 名 [miŋ2] (míng-) is a phonetic in  
铭 [miŋ2] (míng-)  
茗 [miŋ2] (míng-)  
酩 [miŋ3] (mǐng-)

# Segment Perfect

- 困 [k'uən4](kùn-) is a phonetic in
  - 恹 [k'uən3](kǎn-)
  - 搥 [k'uən3](kǎn-)
  - 閑 [k'uən3](kǎn-)

# Categories of Phonetic Elements-More Common Characters

- Examples based on 周有光 Zhou Youguang (1980)  
汉字声旁读音便查 *Hanzi shengpang duyin biancha* ( A handy look up for the pronunciation of phonetics in Chinese characters)

# Totally Perfect

- 成 [tʂ'əŋ2] (chéng-become)
- is a phonetic in  
城 [tʂ'əŋ2] (chéng-city)

# Segment Perfect

长 [tʂɑŋ3] (zhǎng-grow) is a phonetic in  
张 [tʂɑŋ1] (zhāng-surname, measure  
word)



# Segment Perfect

马 [ma3](mǎ-horse) is a phonetic in

吗 [ma0] (ma-question word)

骂 [ma4] (mà-scold)

妈 [ma1] (mā-mother)

# Segment Perfect

门 [mən2] (mén-door) is a phonetic in

们 [mən0] (men-plural)

# Segment Perfect

方 [faŋ1] (fāng-place) is a phonetic in

房 [faŋ2] (fáng-house)

防 [faŋ2] (fáng-guard against)

访 [faŋ3] (fǎng-visit)

放 [faŋ4] (fàng-let go)

# Initial Perfect

- 先 [ɕiɛn1] (xīan-first) is a phonetic in
- 洗 [ɕi3] (xǐ-wash)
- 你 [ni3] (nǐ-you) is a phonetic in
- 您 [nin2] (nín-you-polite)

# Initial Consonant + Vowel (CV) Perfect

- 先 [ɕiɛn1] (xīan-first) is a phonetic in
  - 洗 [ɕi3] (xǐ-wash)
  - both share [ɕi-] xi-
- 
- 你 [ni3] (nǐ-you) is a phonetic in
  - 您 [nin2] (nín-you-polite)
  - both share [ni-] ni-

# Final Perfect

长 [tʂ'ɑŋ2] (cháng) 张 [tʂɑŋ1] (zhāng).  
They share the final [ɑŋ] -ang .

方 [fɑŋ1] (fāng) 旁 [p'ɑŋ2] (páng) . They  
share the final [ɑŋ] -ang .

艮 [kən3,4] (gěn, gèn) 根 [kən1] (gēn) 很  
[xən3] (hěn). They share the final [ən] -  
en .

# Final Perfect with Related Initial Consonants

长 [tʂ'ɑŋ2] (cháng-long) 张 [tʂɑŋ1] (zhāng-surname, measure word).

They share the final [ɑŋ] -ang .

The initial Consonants are [tʂ] zh and [tʂ'] ch .

Both initial Consonants are alveopalatal (retroflex) affricates. They differ only in the feature of aspiration. The consonant [tʂ'] ch is aspirated, while the consonant [tʂ] zh is unaspirated.

# Final Perfect with Related Initial Consonants

方 [fɑŋ<sup>1</sup>] (fāng-place)    旁 [p'ɑŋ<sup>2</sup>] (páng-side) . They share the final [ɑŋ] - ang .

The initial consonants are [ f ] and [p'] .

The initial consonant [f] is labiodental and the initial consonant [p'] is bilabial.



# Final Perfect with Related Initial Consonants

艮 [kən3,4] (gě̃n, gèn -brusque;surname)

根 [kən1] (gē̃n-root)

很 [xən3] (hě̃n-very).

They share the final [ən] -en .

The initial Consonants are [k] g and [x] h .

- The consonants are both velars. They have the same place of articulation.

# 方 [faŋ1] (fāng) Series- Quantitative Analysis

方 [faŋ1] (fāng-place;square;just a moment ago) is a  
phonetic in

房 [faŋ2] (fáng-house)

防 [faŋ2] (fáng-guard against)

访 [faŋ3] (fǎng-visit)

紡 [faŋ3] (fǎng-spin)

仿 [faŋ3] (fǎng-imitate, copy)

放 [faŋ4] (fàng-let go)

旁 [p'ɑŋ2] (páng-side)

# Quantitative Analysis

方 [faŋ1] (fāng-place) is a phonetic in

2 [faŋ2]

3 [faŋ3]

1 [faŋ4]

1 [p'ɑŋ2]

In DeFrancis's Texts, from DeFrancis Index Volume-approx. 1700 chars.  
(DeFrancis (1970))

8 characters total with individual pronunciations

**1 Phonetic element with 7 phonetic compounds**

6/7 = 86% Segment Perfect

1/7 = 14% Final Perfect

But 7/7 = 100% Final Perfect or Better

# Quantitative Analysis

方 [faŋ1] (fāng-place) is a phonetic in

房 [faŋ2] (fáng-house)-3/4 phonemes are the same as 方  
[faŋ1]=75%phonetic

防 [faŋ2] (fáng-guard against)-3/4= 75%phonetic

访 [faŋ3] (fǎng-visit)-3/4= 75%phonetic

紡 [faŋ3] (fǎng-spin)-3/4= 75%phonetic

仿 [faŋ3] (fǎng-imitate, copy)-3/4= 75%phonetic

放 [faŋ4] (fàng-let go)-3/4= 75%phonetic

旁 [p'aŋ2] (páng-side)-2/4= 50% phonetic

**Thus the average phonetic rating of the phonetic element 方 [faŋ1] in the above 7 characters would be 71.4 percent phonetic.**

# 方 [faŋ1] (fāng) Series-Quantitative Analysis

方 [faŋ1] (fāng-place;square;just a moment ago) is a  
phonetic in

枋 [faŋ1] (fāng)

邠 [faŋ1] (fāng)

鋤 [faŋ1] (fāng)

芳 [faŋ1] (fāng)

坊 [faŋ1] (fāng)

妨 [faŋ1] (fāng)

坊 [faŋ2] (fáng)

妨 [faŋ2] (fáng)

防 [faŋ2] (fáng)

肪 [faŋ2] (fáng)

魴 [faŋ2] (fáng)

房 [faŋ2] (fáng)

紡 [faŋ3] (fǎng)

仿 [faŋ3] (fǎng)

访 [faŋ3] (fǎng)

昉 [faŋ3] (fǎng)

舫 [faŋ3] (fǎng)

放 [faŋ4] (fàng)

旁 [p'ɑŋ2] (páng)

傍 [p'ɑŋ2] (páng)

[示]方 [pəŋ1] (bēng)

# 方 [faŋ1] (fāng) Series-Quantitative Analysis

方 [faŋ1] (fāng-place;square;just a moment ago) is a phonetic in

枋 [faŋ1] (fāng)

邠 [faŋ1] (fāng)

鋤 [faŋ1] (fāng)

芳 [faŋ1] (fāng)

坊 [faŋ1] (fāng)

妨 [faŋ1] (fāng)

坊 [faŋ2] (fáng)

妨 [faŋ2] (fáng)

防 [faŋ2] (fáng)

肪 [faŋ2] (fáng)

魴 [faŋ2] (fáng)

房 [faŋ2] (fáng)

紡 [faŋ3] (fǎng)

仿 [faŋ3] (fǎng)

访 [faŋ3] (fǎng)

昉 [faŋ3] (fǎng)

舫 [faŋ3] (fǎng)

放 [faŋ4] (fàng)

旁 [p'ɑŋ2] (páng)

傍 [p'ɑŋ2] (páng)

[示]方 [pəŋ1] (bēng)

# Quantitative Analysis

方 [faŋ1] (fāng-place) is a phonetic in

6 [faŋ1]

6 [faŋ2]

5 [faŋ3]

1 [faŋ4]

2 [p'ɑŋ2]

1 [peŋ1]

22 characters total with indiv. Pronunciations (Zhou Youguang (1980))(Based on Xinhua Zidian (1971))

**1 Phonetic element with 21 phonetic compounds**

6/21 = 29% Totally Perfect

12/21 = 57% Segment Perfect

2/21 = 10% Final Perfect

1/21 = 5% Ending η Perfect

But 18/21 = 86% Segment Perfect or Better

21/21 = 100% Final Perfect or Better

# Quantitative Analysis

方 [faŋ1] (fāng-place) is a phonetic in

6 [faŋ1] 4/4 phonemes are the same as 方 [faŋ1]=6 X 100%phonetic

6 [faŋ2] 3/4 phonemes are the same as 方 [faŋ1]=6 X 75%phonetic

5 [faŋ3] 3/4 phonemes are the same as 方 [faŋ1]=5 X 75%phonetic

1 [faŋ4] 3/4 phonemes are the same as 方 [faŋ1]=1 X 75%phonetic

2 [p'aŋ2] 2/4 phonemes are the same as 方 [faŋ1]=2 X 50%phonetic

1 [peŋ1] 2/4 phonemes are the same as 方 [faŋ1]=2 X 50%phonetic

22 characters total with indiv. Pronunciations (Zhou Youguang (1980))

**1 Phonetic element with 21 phonetic compounds**

**Thus the average phonetic rating of the phonetic element 方 [faŋ1] in the above 21 characters would be 81% percent phonetic.**



# Rime Perfect (NE Perfect)

- In a rime perfect phonetic series, the characters share this same main vowel or nucleus (N) followed by the same final vowel or consonant ending (E).

# Rime Perfect

- 门 [mən2] (mén-door) is a phonetic in
- 问 [uən4] (wèn-ask).
- They share the rime [ən] (-en) .

# Rime Perfect: Underlying Forms

- 山 [ʃan1] (shān-mountain) is a phonetic in
- 仙 [ɕien1] (xiān-immortal).
- They have the two rimes [an] and [ɛn]
- But they share the same *underlying form* of the rime (-an ) (See Cheng 1973).

# Rime Perfect: Underlying Forms

- 占 [tʂan<sup>1,4</sup>] (zhān-to divine; zhàn-to occupy) is a phonetic in
  - 点 [tiɛn<sup>3</sup>] (diǎn- a point) and
  - 店 [tiɛn<sup>4</sup>] (diàn-shop).
- 
- They have the two rimes [an] and [ɛn.]
  - But they share the same *underlying form* of the rime (-an ) (See Cheng 1973).

# Featural Congruence

# Similar Vowel Features

- 是 [ʂ̺4] (shì-to be) is a phonetic in
- 題 [t'i2] (tí-topic) and
- 提 [t'i2] (tí-lift from above).
- They share the similar final vowels:
- [ɨ] ( i ) (in shi) and [i] ( i )(in ti).
- The vowels [ɨ] , [ ɿ ] and [ i ] are high, unround vowels and can be considered allophones of the single phoneme -i (see Kratochvil 1968:28).
- Due to co-occurrence restrictions, [ʂ̺] takes only the back unround vowel [ɨ], while [t] takes only the front unround vowel [ i ].

# Similar Vowel Features

- 是 [ʂɿ4] (shì-to be) is a phonetic in
- 題 [t'i2] (tí-topic) and
- 提 [t'i2] (tí-lift from above).
- C1 V / C2 V (V = i) (phonemic) same final V phonemically)
- [ʂɿ4] / [t'i2]
- (shi) / (ti)

# Similar Vowel Features

- 是 [ʂɿ4] (shì-to be) is a phonetic in
- 題 [t'i2] (tí-topic) and
- 提 [t'i2] (tí-lift from above).
- Cheng(1973:14) considers [ɿ] and [ɿ̌] to be variants of a high unround central vowel ɿ and [i] to be a separate underlying high unround vowel. Using his phonemic analysis, we might express the pattern [ʂɿ4] [t'i2] as
- [ʂɿ4] C1 V1 [+high,-round]
- [t'i2] C2 V2 [+high,-round]



# Similar Vowel Features

- 是 [ʂʅ4] (shì-to be) is a phonetic in
- 寔提 匙滉題堤騏醜 (Zhou (1980))
- 滉[ʂʅ2]
- 寔[ʂʅ2]
- 匙[ʂʅ0] [tʂʅ2]
- 題 [tʰi2]
- 提 [tʰi2] [ti1]
- 騏[tʰi2]
- 醜[tʰi2]
- 堤[ti1]

# Similar Vowel Features

- 是 [ʂʌ4] (shì-to be) is a phonetic in
- 寔提 匙滉題堤騏醜

We see the pattern

- [ʂʌ4] [ʂʌ2] [ʂʌ0] [tʂʰʌ2] [tʰi2] [ti1] as
- [ʂʌ4,2,0] C1 V1 [+high,-round]
- [tʂʰʌ2] C2 V1 [+high,-round]
- [tʰi2] C3 V2 [+high,-round]
- [ti1] C4 V2 [+high,-round]

# Similar Vowel Features

帶[tai4] (dài-to wear) is phonetic in

滯(tʂɿ4) (Zhou1980)

- We see the pattern
- [tai4] [tʂɿ4] as
- [tai4] C1 V1 [+low,-round] V2 [+high,-round]
- [tʂɿ4] C2 V2 [+high,-round]

# Similar Vowel Features

- (滴) [ti] (dì) is a phonetic in  
滴[ti1] 嫡[ti2] 滴[ti2] 镝 [ti1,2] 躋[ti2][tʂɿ2]  
摘[tʂai1] 谪[tʂɿ2] (Zhou (1980))
  - 滴[ti1]
  - 嫡[ti2]
  - 滴[ti2]
  - 镝 [ti1,2]
  - 躋[ti2][tʂɿ2]
  - 摘[tʂai1]
  - 谪[tʂɿ2]

# Similar Vowel Features

- (滴) [ti] (dì) is a phonetic in  
滴[ti1] 嫡[ti2] 啮[ti2] 镝 [ti1,2] 躅[ti2][tʂʌ2]  
摘[tʂai1] 谄[tʂɤ2] (Zhou (1980))

We see the pattern

- [ti1] [ti2] [tʂʌ2] [tʂai1] [tʂɤ2] as
- [ti1,2] ] C1 V1 [+high,-round]
- [tʂʌ2] C2 V2 [+high,-round]
- [tʂai1] C2 V3V1 [+low,-round] [+high,-round]
- [tʂɤ2] C2 V2 [+mid,-round]

# Initial Consonant Features

# Initials and Finals: The Segment

To look at the full segment pronunciation of characters in phonetic series in Modern Mandarin, one needs to recombine initials and finals (the segment), looking at their combinations and paying attention to their co-occurrence restrictions.

# Initials and Finals

- In Chinese characters in Modern Mandarin, initial consonants are forming regular recurring patterns. Finals are also forming their own recurring patterns in the written language.



# Overall Patterns: Initials vs. Finals

• Initials	Finals
• A	V
• B	W
• C	X
• D	Y

# Overall Patterns: Segments for Each Individual Phonetic Series

- Initials-Finals (Segments) for Each Phonetic Series
- A-V
- B-W
- C-X
- D-Y

# Implications and Applications

- The phononological patterns of the writing system
- L1 language acquisition
- L2 language acquisition
- Language teaching / Pedagogy
- Psycholinguistics
- Neurolinguistic Processing of Chinese Characters

# References

- Astor, W.G. (1970). 'A Phonetic-Inductive Approach to Chinese Character Recognition.' *Journal of the Chinese Language Teachers Association* V:30-66.
- Cheng, C.C. (1973). *A synchronic phonology of Mandarin Chinese*. The Hague: Mouton.
- DeFrancis, John. (1976). *Index volume*. Second revised edition. New Haven: Yale University Press.
- DeFrancis, John. (1984). Phonetic versus semantic predictability in Chinese characters. *Journal of the Chinese Language Teachers Association*, (XIX), 1-21.

# References

Duanmu, San. (2007). *The phonology of standard Chinese*. Second edition. New York: Oxford University Press.

- Kraemer, Stephen M. (1980). *Potentially pedagogically useful phonetics in the Chinese script: Their identification and characterization*. Doctoral dissertation. Rutgers University.
- Kraemer, Stephen M. (1991a). *Sound clues in Mandarin character phonetic series*. Working paper. <https://scholarsbank.uoregon.edu/xmlui/handle/1794/4943>

# References

- Kraemer, Stephen M. (1991b). *Levels of phonological regularity in the Chinese writing system*. Working paper.  
<https://scholarsbank.uoregon.edu/xmlui/handle/1794/8133>
- Kratochvil, Paul. (1968). *The Chinese language today: Features of an emerging standard*. London: Hutchinson & Co., Ltd.
- Wang Liangbi, Zhu Yuan, and Ren Yongchang. (1983). *The pocket English–Chinese (Pinyin) dictionary*. Hong Kong: The Commercial Press, Ltd.

# References

- Wieger, L., S.J. (1965). Chinese Characters : Their Origin, Etymology, History, Classification and Signification. (Translated into English by L. Davrout, S.J .) New York : Paragon Book Reprint Corp . & Dover Publications, Inc . (Originally published as 2nd edition, enlarged and revised according to the 4th French edition, Hsien-hsien : Catholic Mission Press, 1927.)
- *Xinhua zidian* (New China dictionary). (1971). Beijing: Shangwu Yinshuguan.

# References

- Zhou Youguang. (1980). *Hanzi shengpang duyin biancha* ( A handy look up for the pronunciation of phonetics in Chinese characters). Jilin: Jilin Remnin Chubanshe.



谢谢 Xiexie.